

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
13768.332

In Re Application Of: **Cornelis K. Van Dok et al.**

Serial No.  
Unassigned

Filing Date  
06/26/2003

Examiner  
Unassigned

Group Art Unit  
Unassigned

Title: **NON-PERSISTENT USER INTERFACE FOR REAL-TIME COMMUNICATION**

Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

DATED June 26, 2003.

Respectfully submitted,



ERIC M. KAMERATH  
Attorney for Applicant  
Registration No. 46,081



**022913**

PATENT TRADEMARK OFFICE

EMK: kc

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**NON-PERSISTENT USER INTERFACE FOR REAL-TIME COMMUNICATION**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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**\*This certificate may only be used if paying by deposit account.**

  
Signature

Dated: June 26, 2003

ERIC M. KAMERATH

Registration No. 46,081



**022913**

PATENT TRADEMARK OFFICE

CC:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)	
	Cornelis K. Van Dok et al.	)	
Serial No.:	Unassigned	)	Art Unit
Confirmation No.:	Unassigned	)	Unassigned
Filed:	June 26, 2003	)	
For:	NON-PERSISTENT USER INTERFACE FOR REAL-TIME COMMUNICATION	)	
Examiner:	Unassigned	)	

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Docket Number (Optional)  
13768.332

Application Number  
Unassigned

Applicant(s)  
Cornelis K. Van Dok et al.

Filing Date  
06/26/2003

Group Art Unit  
Unassigned

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,898,431	04/27/1999	Webster et al.	345	331	12/31/1996
		6,052,121	04/18/2000	Webster et al.	345	329	12/31/1996
		6,310,632	10/30/2001	Monroe et al.	345	808	10/19/1998
		6,396,487	05/28/2002	Jameson	345	342	03/23/1998
		6,411,312	06/25/2002	Sheppard	345	769	05/10/1999

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

		SMITH, Marc; CADIZ, JJ; and BURKHALTER, Byron; "CONVERSATION TREES AND THREADED CHATS,"; ACM 2000 Conference on Computer Supported Cooperative Work (CSCW2000); 2-6 December 2000; p. 97-105
		WHITFIELD, Nigel; "INSTANT MESSAGING,"; <i>Personal Computer World</i> ; <www.pcw.co.uk>; August 2002; p. 135-152

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.